



10-07-04

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

SHAO, Xie et al.

Serial No.: 10/689,482

Filed: October 20, 2003

NON-AROMATIC CHROMOPHORES FOR
USE IN POLYMER ANTI-REFLECTIVE
COATINGS

Docket No.: 27615-CNT2

Confirmation No.: 7931

Group Art Unit No.: 1752

Examiner: S. Lee

TRANSMITTAL

Transmitted herewith are: Transmittal (1 pg); Supplemental Information Disclosure Statement (1 page); Information Disclosure Statement Under 37 C.F.R. 1.97(e) (1 page); Information Disclosure Statement by Applicant Form PTO-1449 (1 page); U.S. Patent No. 5,759,755 to Park et al. (12 pages); and return postcard.

EL 973753933 US

Express Mail No.

Respectfully submitted,

HOVEY WILLIAMS LLP

Dated: October 6, 2004

by

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ATTORNEYS FOR APPLICANT



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Assistant Commissioner of Patents
Washington, D.C. 20231

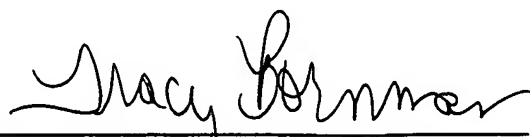
Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The attached reference is being filed to fulfill the duty of candor and good faith toward the Patent and Trademark Office, as required by 37 C.F.R. §1.56.

Any fee which is due in connection with this Statement should be applied against Deposit Account No. 19-0522.

Respectfully submitted,

By 

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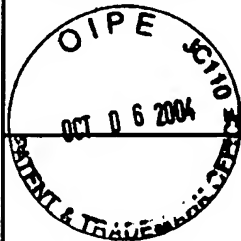
**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
27615-CNT2

In Re Application Of: **SHAO, XIE et al.**

Application No. 10/689,482	Filing Date 10/20/2003	Examiner S. Lee	Customer No. 23589	Group Art Unit 1752	Confirmation No. 7931
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Invention: **NON-AROMATIC CHROMOPHORES FOR USE IN POLYMER ANTI-REFLECTIVE COATINGS**



COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

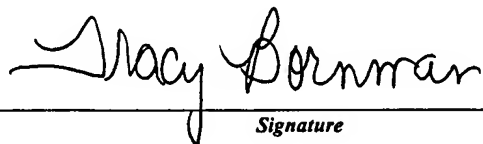
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: **October 6, 2004**

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Certificate of Mailing by First Class Mail


I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

cc: **Express Mail No. EL 973753933 US**

FORM PTO-1449 (Rev. 2-32) 	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 27615-CNT2	SERIAL NO. 10/689,482
		APPLICANT: SHAO, Xie et al.	
		FILING DATE: October 20, 20003	GROUP: 1752

U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	7	5	9	7	5	5	06/02/1998	Park et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.